

**RECEIVED  
CENTRAL FAX CENTER**

**DEC 19 2007**

**Synthon**

**Synthon IP Inc.**

7130 Heritage Village Plaza  
Suite 202  
Gainesville, VA 20155

---

|            |   |                                       |
|------------|---|---------------------------------------|
| <b>to</b>  | <b>Mail Stop Appeal Brief<br/>USPTO</b> | <b>from Philip A. Caramanica, Jr.</b> |
| <b>fax</b> | <b>571-273-8300</b>                     | <b>tel</b>                            |
| <b>cc</b>  |   | <b>email</b>                          |
|            |   | <b>date December 19, 2007</b>         |
|            |   | <b>pages (7) including cover</b>      |

---

**Re:** Application No. 10/705,926  
Filed: 11/13/2003  
Inventors: Pavel Slanina et al.

The following are submitted herewith for filing in the above-identified application:

1. Transmittal Form PTO/SB21 (1 page);
2. Response to Notification of Non-Compliant Appeal Brief (3 pages);
3. Copy of Notification of Non-Compliant Appeal Brief (2 pages);

DEC 19 2007

PTO/SB/21 (10-07)

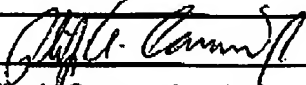
Approved for use through 10/31/2007. OMB 0851-0031

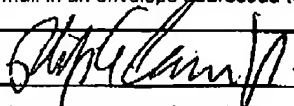
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |                        |                      |
|---|------------------------|----------------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number     | 10/705,926           |
|   | Filing Date            | November 13, 2003    |
|   | First Named Inventor   | Pavel SLANINA et al. |
|   | Art Unit               | 1624                 |
|   | Examiner Name          | Susanna MOORE        |
| Total Number of Pages In This Submission  | Attorney Docket Number | SYN-0036             |

| ENCLOSURES (Check all that apply)   |  |   |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>1. Response to Notification of Non-Compliant Appeal Brief;<br>2. Amended Page 1 of Appeal Brief filed November 7, 2007; and<br>3. Copy of Notification |
| Remarks   |  |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |        |  |
|--|---|--------|--|
| Firm Name                                  | Synthon IP Inc.   |        |  |
| Signature                                  |  |        |  |
| Printed name                               | Philip A. Caramanica, Jr.   |        |  |
| Date                                       | Reg. No.  | 51,528 |  |

| CERTIFICATE OF TRANSMISSION/MAILING   |   |      |             |
|---|---|------|-------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: |   |      |             |
| Signature   |  |      |             |
| Typed or printed name   | Philip A. Caramanica, Jr.   | Date | DEC 19 2007 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**RECEIVED  
CENTRAL FAX CENTER****DEC 19 2007  
PATENT**

Atty Docket No.: SYN-0036

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Pavel Slanina et al. Confirm. No.: 7713  
Serial No.: 10/705,926 Art Unit: 1624  
Filed: November 13, 2003 Examiner: Susanna MOORE  
For: PROCESS FOR MAKING RISPERIDONE AND INTERMEDIATES  
THEREFOR

**RESPONSE TO NOTIFICATION OF NON-COMPLIANT APPEAL BRIEF**

**Mail Stop Appeal Brief**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sirs:

In response to the Notification of Non-Compliant Appeal Brief, mailed November 20, 2007, Applicants submit herewith an Amended Page 1<sup>1</sup> of the Appeal Brief originally-filed November 7, 2007, pursuant to 37 C.F.R. §41.37(d) and MPEP §1205.03. Amended Page 1 contains an identification of claims 1-9 and 13 as those claims that are being appealed in Section III, "Status of Claims," pursuant to 37 C.F.R. §41.37(c)(1)(iii). The original Appeal Brief identified claims 1-9 and 13 as the appealed claims in Section VI, "Grounds of Rejection to be Reviewed on Appeal," but a corresponding identification was inadvertently omitted in Section III.

<sup>1</sup> The Notification states that "[p]lease note, the entire Appeal Brief does not need to be resubmitted." Accordingly, Applicants resubmit only Amended Page 1.

Application No.: 10/705,926

The attached Amended Page 1 has corrected this inadvertent error and properly identifies the appealed claims in Section III, "Status of Claims." The PTO's careful review of the Appeal Brief is thus greatly appreciated. Entry and consideration of the entire Appeal Brief, including Amended Page 1, is respectfully requested.

Because the fee required under §41.20(b)(2) was previously paid on November 7, 2007, no further payment is required.

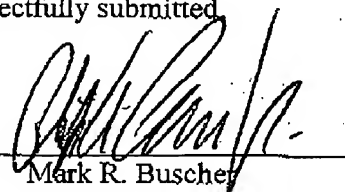
Please charge any fee deficiency, and credit any over payment, to Deposit Account No. 502877.

Respectfully submitted

Date:

December 19, 2007

By:

  
Mark R. Buscher  
Reg. No. 35,006Philip A. Caramanica, Jr.  
Reg. No. 51,528

Synthon IP Inc.  
7130 Heritage Village Plaza  
Suite 202  
Gainesville, VA 20155  
(703) 753-5256

Attachment: Amended Page 1 of Appeal Brief originally-filed November 7, 2007  
Copy of Notification of Non-Compliant Appeal Brief

Application No.: 10/705,926

I. Real Party in Interest

The real party in interest is Synthon IP Inc., a corporation of Virginia, which is one of several privately held companies ultimately owned by Synthon Holding BV, a corporation of The Netherlands.

II. Related Appeals and Interferences

There are no appeals or interferences, previously or currently, that are related to this application.

III. Status of Claims

Claims 1-9, 12, and 13 are pending in the application, wherein:

Claims 1-9 and 13 are rejected.

Claim 12 is objected to.<sup>1</sup>

Claims 10, 11, and 14-39 have been cancelled.

Claims 1-9 and 13 are being appealed.

IV. Status of Amendments

An Amendment under 37 C.F.R. 1.116 was filed on September 4, 2007, and was entered into the written record by the Examiner as indicated in the Advisory Action dated September 27, 2007. Accordingly, the claims as amended "After Final" are attached in the Claims Appendix.

---

<sup>1</sup> Claim 12 now depends from claim 10 – a cancelled claim. Claim 12 should have been amended to depend from claim 9 when claim 9 was amended to incorporate the limitations of cancelled claim 10. Applicants will amend claim 12 to correct its dependency once prosecution is resumed.



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/705,926      | 11/13/2003  | Pavel Slanina        | SYN-0036            | 7713             |

38427 7590 11/20/2007  
SYNTHON IP INC  
7130 HERITAGE VILLAGE PLAZA  
STE 202  
GAINESVILLE, VA 20155

EXAMINER

ART UNIT PAPER NUMBER

NOV 26 2007

DATE MAILED: 11/20/2007

Please find below and/or attached an Office communication concerning this application or proceeding.

DEC 19 2007

|  |                                      |                                       |  |
|--|--------------------------------------|---------------------------------------|--|
| <b>Notification of Non-Compliant Appeal Brief<br/>(37 CFR 41.37)</b> | <b>Application No.</b><br>10/705,926 | <b>Applicant(s)</b><br>SLANINA ET AL. |  |
|  | <b>Examiner</b><br>MOORE, SUSANNA    | <b>Art Unit</b><br>1624               |  |

*--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--*


The Appeal Brief filed on 07 November 2007 is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file an amended brief or other appropriate correction (see MPEP 1205.03) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer. **EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.**

1. ☐ The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.
2. ☒ The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(III)).
3. ☐ At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).
4. ☐ (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).
5. ☐ The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)).
6. ☐ The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).
7. ☐ The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).
8. ☐ The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner and relied upon by appellant in the appeal, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).
9. ☐ The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)).
10. ☐ Other (including any explanation in support of the above items):

Item 2. The Appeal Brief fails to identify which claims are involved in the appeal.

Please note, the entire Appeal Brief does not need to be resubmitted.

  
LORENDA HOOD

PATENT APPEAL CENTER SPECIALIST